Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, dissemination report, copy of political propaganda or other document or information filed with the Attorney General under this act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, D.C. One copy is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of such documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. Finally, the Attorney Genreral transmits an annual report to the Congress on the Administration of the Act which lists the names of all agents and the nature, sources and content of the political propaganda disseminated or distributed by them. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, D.C. 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently.

| 1. Name and address of registrant | | | 2. Registration No. |
|--|---|----------------------|----------------------|
| Zel E. Lipsen, Esquire | | | 5093 |
| 3. Name of foreign principal | | 4. Principal address | of foreign principal |
| AEA Technology | | Oxfordshir | e, England |
| 5. Indicate whether your foreign principal is one of the follow | ving type: | | |
| ☐ Foreign government | | | |
| ☐ Foreign political party | | | |
| ∏ Foreign or □ domestic organization: If either, check on | e of the following: | | |
| ☐ Partnership | ☐ Committee | | |
| Corporation | □ Voluntary group | | |
| ☐ Association | ☐ Other (specify) _ | | |
| ☐ Individual—State his nationality | | | |
| 6. If the foreign principal is a foreign government, state: | | | |
| a) Branch or agency represented by the registrant. | WTI F | % | |
| b) Name and title of official with whom registrant deals. | ROLL M | RIAN DE | |
| | 75 \$100 \$100 \$100 \$100 \$100 \$100 \$100 \$10 | PSA | |
| 7. If the foreign principal is a foreign political party, state: | TIRMAL SICURITY DISTRATION DWIT | 535 535 | |
| a) Principal address | 54 | | |
| b) Name and title of official with whom registrant deals. | | | |
| c) Principal aim | | | |
| | | | |

| 8. If the foreign principal is not a foreign | gn government or a foreig | n political party, | | |
|--|---|-----------------------|-----------------------|--------------------------|
| a) State the nature of the business o | r activity of this foreign p | rincipal | | |
| The foreign principal | | • | e of scientif | ic information. |
| b) Is this foreign principalOwned by a foreign government | , foreign political party of | r other foreign prin | cinal | Ves 15t No. □ |
| Directed by a foreign governmen | | | | |
| Controlled by a foreign governm | | | | •• |
| Financed by a foreign governme | | | | |
| Subsidized in whole by a foreign | | | | |
| Subsidized in part by a foreign g | | | | |
| buonense in part by a foldiging | overmient, foreign point | ar party, or other r | oreign principar | Ies 🗀 No 🕰 |
| The foreign principal process of privatizat | is an organiza | tion owned | by the govern | |
| | | | | |
| 10. If the foreign principal is an organiza foreign principal, state who owns | tion and is not owned or co s and controls it. | ntrolled by a foreign | n government, foreign | political party or other |
| Date of Exhibit A | Name and Title | | Signature | |
| January 19, 1996 | Zel E. Lipsen | , Esquire | | Edge. |

U.S. Department of Justice

Washington, DC 20530

Exhibit B

To Registration Statement

OMB No. 1805-0007 Approval Expires Nov. 30, 1993

Under the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements; or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. This form shall be filed in triplicate for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, dissemination report, copy of political propaganda or other document or information filed with the Attorney General under this act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, D.C. One copy is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of such documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. Finally, the Attorney General transmits an annual report to the Congress on the Administration of the Act which lists the names of all agents and the nature, sources and content of the political propaganda disseminated or distributed by them. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, D.C. 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

| Name of Registrant | Name of Foreign Principal |
|------------------------|---------------------------|
| Zel E. Lipsen, Esquire | AEA Technology |

Check Appropriate Boxes:

- 1.XXThe agreement between the registrant and the above-named foreign principal is a formal written contract. If this box is checked, attach three copies of the contract to this exhibit.
- 2. There is no formal written contract between the registrant and foreign principal. The agreement with the above-named foreign principal has resulted from an exchange of correspondence. If this box is checked, attach three copies of all pertinent correspondence, including a copy of any initial proposal which has been adopted by reference in such correspondence.
- 3. The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and the expenses, if any, to be received.

SECIZIEVION ONE SECTION INTERNAL SCOURCE

75: 1d 61 NVr 96.

4. Describe fully the nature and method of performance of the above indicated agreement or understanding.

Direct communications with federal agencies and legislators in order to discuss government policy and legislation.

| | | -2- | | |
|---|-----------------------------|---------------------------------|---------------------------------|-------------------------------------|
| 5. Describe fully the activities the | ne registrant engages | in or proposes to engage | e in on behalf of the above | e foreign principal. |
| Monitor all US g in preparation o | overnment co f any bids, | ntracts AEA is also look for | interested in joint ventures | bidding; assist with US companie |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 6. Will the activities on behalf of Yes No □ | of the above foreign p | rincipal include political | activities as defined in S | Section 1(0) of the Act?1 |
| If yes, describe all such political with the means to be employed | | | relations, interests or pol | icies to be influenced together |
| See answer to | question 4. | | | |
| | 4.000.000 | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Date of Exhibit B | | Name and Title | | Signature |

January 19, 1996 Zel E. Lipsen, Esquire

s.



152 Harwell, Didcot
Oxfordshire OX11 0RA
United Kingdom
Telephone 0235 821111

AGREEMENT NO FH 00459

COMMERCIAL DIVISION COMMERCIAL IN CONFIDENCE

ARTICLES OF AGREEMENT (hereinafter referred to as 'AEA Technology')

This AGREEMENT is made between the UNITED KINGDOM ATOMIC ENERGY AUTHORITY (hereinafter referred to as 'AEA Technology') having their principal office at AEA Technology, Harwell Site, Didcot, Oxfordshire OX11 0RA, of the one part and Lipsen and Hamberger (hereinafter referred to as 'the Consultant') of 225 Williard Office Building, 1455 Pennsylvania Avenue, NW, Washington DC 200001, USA.

NOW IT IS AGREED:

AEA do hereby engage the Consultant for the period of this Agreement to assist in the development of AEA's US Business Strategy, and to promote the acquisition of AEA's services and products by the US Government.

1 SCOPE OF WORK

- 1.1 Federal Government Liaison
 - Support to AEA on interacting with Federal Government
 - establishing key meetings with congressional staff
 - preparation of presentational briefs
 - alerting AEA to key political developments
 - · maintenance of AEA profile with key political figures
 - development of 'language' for submission to Senate and House staff appropriation committee members

Support will be provided on an ongoing basis

- Provide AEA with advice and support in developing a business base under the MoU
 between UK, DTI and US DOE. This includes project and programme definition as well
 as assistance with federal procurement processes.
- Support to AEA with corporate business structure issues.

Support will be provided on an ongoing basis for the project based activities.

1.3 Business Development Support

 Provide AEA with introduction to key corporate and government sector business contracts and assist in the development of joint venture business.

It is recognised that the Consultant may participate in joint venture business activities with AEA, and other related activities with AEA, and other related activities which may form part of the Consultants objectives in the future. Such participation may be achieved by an instrument in writing signed by the SO and a duly authorised representative of Consultants on a project by project basis.

The Consultant will be responsible for all of the Consultant's costsrelating to the developing their interests in joint venture or other activities outside the scope of this Agreement.

All Agreements created under this Clause1.3 shall survive the termination of this Agreement.

2 DURATION

The Agreement shall be deemed to have commenced on 1 April 1994 and shall be completed by 31 March 1995. Should the Agreement be extended beyond this date, AEA Technology and the Consultant shall renegotiate the terms and conditions of the Agreement. Until such negotiations are complete, the Agreement shall continue on a month by month basis.

3 TERMINATION

- 3.1 The Agreement shall be subject to termination by either party giving one month's notice of such termination in writing, such notice to be expressed to expire on the last day of the month.
- 3.2 In the event of premature termination the Consultant shall provide AEA Technology with such reports as may be required within three months of the date of termination. AEA Technology will make payment to the Consultant of all costs properly incurred in carrying out the Work up to the date of termination.
- The Superintending Officer ('SO') for this work is Mr P Mitchell (see further information page).

5 REPORTS

The Consultant will provide monthly activity reports on work executed under Clauses 1.1 and 1.2. These shall be submitted to the SO not later than the 14th day of the month following the activity. The Consultant will provide other activity reports as reasonably required by AEA Technology.

6 AEA Technology will have the discretion not to accept any recommendation made by the Consultant.

7 COPYRIGHT

The Consultant shall assign to AEA Technology all copyright in all documents which may be prepared for AEA Technology under this Agreement. AEA shall have the right to make such use of the documents as they wish for any purpose without further payment to the Consultant.

8 CONFIDENTIALITY

The Consultant undertakes to keep confidential and not to divulge without AEA's written permission to any third party, nor to make any use of whatsoever information provided to the Consultant by AEA Technology in any form, or information prepared by the Consultant under this Agreement.

9 PAYMENT

In consideration of the services provided by the Consultant under this Agreement, AEA will pay the Consultant as follows:

Services detailed in Clause 1.1 monthly fee of \$10000.00 (ten thousand US Dollars)

Services detailed in Clause 1.2 monthly fee of \$5000.00 (five thousand US Dollars)

Services detailed in Clause 1.3 a success fee of fixed value to be agreed upon between the parties prior to the commencement of the new venture

Except by prior agreement the expenses incurred by the Consultant through AEA Technology use of their premises in the Williard Office Building will not exceed \$300.00 US Dollars per month. Other expenses to be incurred for AEA will be approved in advance before being incurred.

It is agreed that, if at any point in the billing month, the Consultant anticipates that more than 40 hours will be spent on Clause 1.1 activities or more than 20 hours will be spent on Clause 1.2 activities, then the Consultant will contact the SO for authority to incur additional charges which will be billed at the same rate.

10 INVOICING

Invoices quoting the Agreement number should be submitted at the end of each month in accordance with Clause 9 to the address contained in the further information sheet. Invoices will be paid in 28 days from receipt.

11 LAW

This Agreement shall be subject to and interpreted in accordance with English Law.

In witness whereof, AEA Technology and the Consultant have cause this Agreement to be executed by their duly authorised representatives.

Signed on behalf of AEA

Signed on behalf of Lipsen & Hamberger

| Name Bayl Hrontice | Name |
|--------------------|--------|
| | Title |
| Date 23/6/94 | . Date |

FURTHER INFORMATION

Superintending Officer

Contact

Mr P D Mitchell

Business Development Director USA

329 Harwell

Didcot

Oxfordshire

OX11 ORA

Telephone

44 235 436737

Facsimile

44 235 436725

Contractual Matters

Contact

Mrs B J Prentice

Commercial Division Purchasing

152 Harwell

Didcot

Oxfordshire

OX11 0RA

Telephone

44 235 436679

Facsimile

44 235 436699

Invoicing Address

Contact

Mrs V Burton

International Business Development Office

329 Harwell

Didcot

Oxfordshire

OX11 ORA

Telephone

44 235 433058

Facsimile

44 235 436725



Purchasing 152 Harwell, Didcot Oxfordshire OX11 0RA United Kingdom Telephone 01235 821111

Our Ref: FH 00459

06 April 1995

Lipsen and Hamberger 225 Willard Office Building 1455 Pennsylvania Avenue, NW Washington DC 200001 USA

For the attention of Mr P Fitzpatrick

Dear Sirs

AMENDMENT NO 1 TO AGREEMENT NO FH 00459 FOR ASSISTANCE WITH US BUSINESS DEVELOPMENT FOR AEA TECHNOLOGY

Following a request by Mr P Mitchell, AEA Technology propose to extend the above Agreement in time only from 31 March 1995 to 30 September 1995. The Agreement documents are amended as follows:

NOTES TO STANDARD CONDITIONS

Condition 2: Scope and Duration of Work

Delete:

'31 March 1995'

Insert:

'30 September 1995'

AEA Technology may assign this Agreement to any company, person, firm or body to whom all, or any part of the assets, business undertaking or activities of AEA Technology are transferred.

All other terms and conditions remain unchanged.

To avoid delays in future payments please acknowledge receipt and acceptance of this amendment by returning the attached proforma.

Yours faithfully

Beryl Prentice (Mrs) on behalf of AEA Technology

Direct Line

01235 436679

Direct Facsimile

01235 436699

ЛМ

For the attention of Mrs B J Prentice **AEA Technology** 152 Harwell Didcot Oxon **OX11 ORA**

Telephone (01235) 436679 Facsimile (01235) 436699

AGREEMENT NO FH 00459 FOR ASSISTANCE WITH DEVELOPMENT FOR AEA US BUSINESS **TECHNOLOGY**

I hereby confirm receipt and acceptance of Amendment No 1 dated 6 April 1995 to the above Agreement.

Signed Sel Company

date

For and on behalf of Lipsen and Hamberger

For the attention of Mrs B J Prentice AEA Technology 148 Harwell Didcot Oxon OX11 ORA

Telephone (01235) 436679 Facsimile (01235) 436699

AGREEMENT NO FH 00459 FOR BUSINESS DEVELOPMENT IN USA FOR AEA TECHNOLOGY

I hereby confirm receipt and acceptance of Amendment No 2 dated 6 October 1995 to the above Agreement.

2 Estipo Zel E Lipsen

date
10/10/95



Purchasing 148 Harwell, Didcot Oxfordshire OX11 0RA United Kingdom Telephone 01235 821111

Mr Zel E Lipsen The Willard Office Building Suite 225 1455 Pennsylvania Avenue **NW** Washington D C 20004-1008 USA

6 October 1995

Dear Mr Lipsen

I understand that the partnership of Lipsen and Hamberger has now been dissolved w.e.f. 1 September 1995. Mr Phil Michell has requested that we arrange for contractual arrangements to be made for you to continue the previous work for the period 1 September 1995-30 September 1996 in your own name.

Because of a local administrative difficulty, I wish to continue using the current Agreement reference number FH 00459, but will require you to sign and return the attached letter, agreeing to take over the work for AEA Technology previously contracted to Lipsen and Hamberger. If you are willing to do this, I will then send you an amendment to the contract for I October 1995 to 30 September 1996 (September 1995 being covered by the amendment No sent to you in April 1995).

Yours sincerely

B J Prentice (Mrs)

Bayl Prantice

on behalf of AEA Technology

Direct Telephone: +44 01235 436679

Facsimile:

+44 01235 436699

06 October 1995

erendering.

TO BE SIGNED BY LIPSEN & HAMBERGER AND RETURNED TO:

Mrs B Prentice AEA Technology Purchasing 148 Harwell Didcot Oxfordshire OX11 0RA

Contract No

Description

FH 00459

US Business Development

We hereby authorise and request you to transfer the above-mentioned Contract(s) and to make all outstanding payments due under such Contract to Zel E Lipsen in consideration of which we undertake to make no claims upon the United Kingdom Atomic Energy Authority in respect of such payments.

We also agree that, on and after the transfer of the Contract as aforesaid, we shall

- cease to have any rights whatsoever (including any rights in respect of inventions, patents and design rights) accrued or to be accrued under the Contract; and
- 2 continue to be bound by all conditions of the Contract relating to

the use of documents and information security

Transferee Company:

Lipsen and Hamberger

Address:

The Willard Office Building

Suite 225

1455 Pennsylvania Avenue NW Washington DC 20004-1008

USA

Signed:

Date:

Official Position: (eg Director, Secretary) 06 October 1995

TO BE SIGNED BY ZEL E LIPSEN AND RETURNED TO

Mrs B Prentice AEA Technology Purchasing 148 Harwell Didcot Oxfordshire OX11 0RA

Contract No

Description

FH 00459

US Business Development

In consideration of your consenting to the transfer to ourselves of the above-mentioned Contract originally placed by the United Kingdom Atomic Energy Authority with Lipsen & Hamberger we hereby agree to be bound by and to fulfil and execute such contract so far as the same now remain(s) to be fulfilled and executed by Lipsen & Hamberger and to observe and perform all the conditions thereof and to accept and fulfil all liabilities of that company thereunder as if we had originally entered into such Contract with the United Kingdom Atomic Energy Authority.

We agree that payments already made to Lipsen & Hamberger by the United Kingdom Atomic Energy Authority under such Contract shall be regarded as payments made to ourselves.

Transferor Company:

Zel E Lipsen

Address:

The Willard Office Building

Suite 225

1455 Pennsylvania Avenue NW Washington DC 20004-1008

USA

Signed:

Official Position:

Date:

Ale Proprietor

10-13-95



Purchasing
148 Harwell, Didcot
Oxfordshire OX11 0RA
United Kingdom
Telephone 01235 821111

Our Ref: FH 00459

06 October 1995

Mr Zel E Lipsen Willard Office Building Suite 225 1455 Pennsylvania Avenue NW Washington DC 0004-1008 USA

Dear Mr Lipsen

AMENDMENT NO 2 TO AGREEMENT NO FH 00459 FOR US BUSINESS DEVELOPMENT STRATEGY

Following our recent correspondence AEA Technology propose to extend the above Agreement in time from 1 October 1995 to 30 September 1996. The Agreement documents are amended as follows:

Condition 2: Duration of Work

Delete:

'1 October 1995'

Insert:

'30 September 1996'

AEA Technology may assign this Agreement to any company, person, firm or body to whom all, or any part of the assets, business undertaking or activities of AEA Technology are transferred.

All other terms and conditions remain unchanged.

To avoid delays in future payments please acknowledge receipt and acceptance of this amendment by returning the attached proforma.

Please note: with effect from 2 October all invoices should be addressed to: Mrs V Marriott, AEA Technology, Accounts Payable, 392.10 Harwell, Oxfordshire OX11 0RA.

Yours sincerely

Bayl Prantice (Mrs)

on behalf of AEA Technology

Direct Line

01235 436679

Direct Facsimile

01235 436699

JM